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## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

In re Patent Application of:

Michael Zimmer et al.

Serial No.: 09/641,595

Filed: August 18, 2000



Atty. Docket No. JFH-A12898US

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Title: METHOD FOR PRINTING ON A THERMOPLASTIC MATERIAL

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Jansson, Shupe, Bridge & Munger, Ltd.  
 245 Main Street, Suite M  
 Racine, Wisconsin 53403  
 December 15, 2000

Hon. Commissioner of Patents & Trademarks  
 Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Before examining the above-referenced application, the Applicants request that the Examiner enter this Preliminary Amendment. This amendment is necessitated by the need to place the translated claims from the German application into proper format to comply with the rules of the U.S. Patent & Trademark Office. No new matter is added.

## IN THE CLAIMS:

Please cancel claims 1-10 without prejudice and replace them with new claims 11-25 as follows:

## CLAIMS

11. A method for printing on a thermoplastic material comprising the steps of:  
 -applying by electrographic means a coloring agent having thermoplastic toner particles

to the thermoplastic material;

-bringing the thermoplastic material into a reactive state; and

-hardening the thermoplastic material thereby establishing a permanent bond between the coloring agent and the thermoplastic material.

12. The method of claim 11 wherein only a surface portion of the thermoplastic material is brought into a reactive state.

13. The method of claim 11 wherein the coloring agent is applied by an electrostatic means.

14. The method of claim 11 wherein the toner and material are brought to a malleable state.

15. The method of claim 11 wherein the toner and material are brought to a fluid state.

16. The method of claim 12 wherein the surface portion of the thermoplastic material is brought to a malleable state by means of thermal energy.

17. The method of claim 12 wherein the surface portion of the thermoplastic material is brought to a fluid state by means of thermal energy.

18. The method of claim 11 further comprising:

-processing the thermoplastic material in a heated molding machine;

-applying heat to at least the surface of the thermoplastic material to produce the reactive state;

-maintaining at least the surface of the thermoplastic material in the reactive state; and

-applying toner to the surface to be printed.

19. The method of claim 11 further comprising:

-heating the thermoplastic material in a molding machine;  
-hardening the thermoplastic material;  
-warming the hardened thermoplastic material in a warming device; and  
-bringing the thermoplastic material into the reactive state at least in regions of its surface.

20. The method of claim 18 wherein the toner is brought to the reactive state by means of a warming device.

21. The method of claim 18 wherein:

-the surface of the material to be printed is in the reactive state;  
-the toner is applied to the surface of the heated material to be printed; and  
-the toner is brought to the reactive state by the material.

22. The method of claim 11 further comprising the steps of:

-processing the thermoplastic material in a heated molding machine;  
-hardening the thermoplastic material;  
-bringing the toner into the reactive state in a warming device;  
-applying the toner to the surface of the material to be coated; and  
-partially bringing the surface of the heated toner to the reactive state.

23. The method of claim 11 wherein:

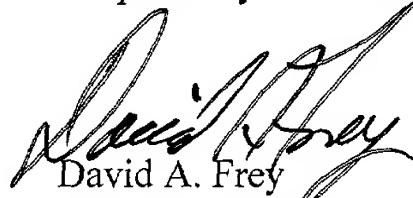
-following the bonding of the coloring agent to the surface of the thermoplastic material;  
-placing the thermoplastic material and the coloring agent in a cooling section; and  
-bringing the thermoplastic material into a hardened state.

24. The method of claim 11 wherein the coloring agent is sunk into the surface of the

thermoplastic material to form a smooth surface structure.

25. The method of claim 11 wherein the thermoplastic toner particles are of the same thermoplastic material as the surface of the material to be coated.

Respectfully submitted,



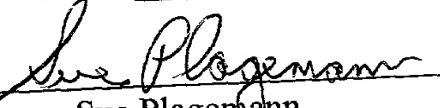
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262/632-6900

CERTIFICATE OF MAILING

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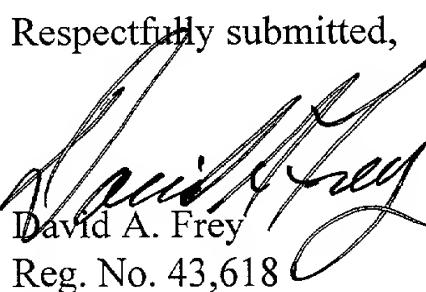
Hon. Commissioner of Patents & Trademarks  
 Washington, D.C. 20231

Sir:

**STATEMENT CONCERNING FILING OF SUBSTITUTE  
SPECIFICATION PURSUANT TO 37 C.F.R. 1.125**

In accordance with 37 C.F.R. § 1.125, applicants state that the attached substitute specification and abstract is filed in order to correct any faulty English that resulted from the translation of the originally-filed German specification and abstract and that such substitute specification and abstract contains no new matter.

Respectfully submitted,

  
 David A. Frey  
 Reg. No. 43,618

## CERTIFICATE OF MAILING

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Date: 12-15-00   
 Sue Plagemann

# METHOD FOR PRINTING ON A THERMOPLASTIC MATERIAL



## RELATED APPLICATION AND CLAIM OF PRIORITY

This application claims priority from German patent application DE 199 42  
5 O55.6-45 filed September 3, 1999.

## FIELD OF THE INVENTION

The invention pertains to a method for printing on a thermoplastic material,  
where a coloring agent is applied to the surface of the material and is subsequently  
10 caused to harden.

## BACKGROUND OF THE INVENTION

Various printing methods are known from the prior art, for example, silk  
screen, tampon printing or the flexoprint method. With these methods, solvent-  
15 containing dye is applied onto the thermoplastic material. The solvent is evaporated,  
so that the dye can harden. Some time after manufacture of the thermoplastic material,  
chemical reactions occur between the material surface and the formerly very difficult to  
scrape off dye, which adversely affect adhesion.

## 20 OBJECTS OF THE INVENTION

It is an object of the invention to create a method of the kind described above,  
wherein permanent coloration can be achieved on the material to be printed.

## SUMMARY OF THE INVENTION

25 The invention involves a method whereby as coloring agent, a toner featuring  
thermoplastic toner particles, is applied by electrographic or electrostatic means to the  
surface of the material, and that the toner and/or at least a portion of the surface of the  
material is brought into a reactive state in that the toner makes a permanent bond with  
the surface.

30 The thermoplastic toner particles enter into a permanent bond with the  
material, which later, in the hardened state, cannot be readily relaxed again. Thus,

permanent bonding of the coloring agent can be achieved. Because the coloring agent is applied in the form of a toner by electrographic or electrostatic means onto the material, a flexible printing process is possible, since even complicated printed patterns can be produced in very small lot sizes.

5        According to one preferred configuration variant of the invention, this method provides in particular for the surface of the material to be brought into a fluid or dough-like state by means of thermal energy.

One possible variant of the invention is characterized in that the thermoplastic material is processed in a molding machine under the influence of temperature, that the  
10      processed material is additionally heated at least in regions of its surface to produce the reactive state, or is kept in this reactive state under the influence of temperature, and that the toner is subsequently applied to the surface to be printed. Thus, in this case the printing process will directly follow the molding process.

According to another method of this invention, it is also provided that the  
15      thermoplastic material be processed in a molding machine under the influence of temperature, that subsequent to the molding process, the processed material be caused to harden (state Z1), and that the hardened material subsequently be sent to a warming device and brought into the reactive state at least in regions of its surface.

With regard to the two aforementioned methods, the toner can be brought to  
20      the reactive state by means of a warming device, or the toner can be brought into the reactive state by the thermal energy present in the material.

In addition, a process control is possible which is disconnected from the actual molding process. In this case, the invention provides that the thermoplastic material be processed in a molding machine under the influence of temperature, that subsequent  
25      to the molding process, the processed material be caused to harden (state Z1), that the toner be brought into the reactive state in a warming device before application to the surface of the material to be coated, and that the surface of the heated toner be partially brought into the reactive state.

In order to ensure dependable hardening of the coloring agent, the invention  
30      also provides that subsequent to the coloration process, after the coloring agent has

bonded with the surface of the material, said material is brought into the hardened state together with the coloring agent in a cooling section.

As a result of the printing process, in order to cause little or no effect on the surface quality of the material, yet another method according to this invention provides  
5 that the coloring agent be sunken into the surface of the material to form a smooth surface structure. In this way, a homogeneous, smooth surface can be produced.

Preferably, the thermoplastic toner particles are of the same thermoplastic material as the material to be coated.

## 10 BRIEF DESCRIPTION OF THE DRAWINGS

The drawings illustrate preferred embodiments which include the above-noted characteristics and features of the invention. The invention will be readily understood from the descriptions and drawings. In the drawings:

15 FIGURE 1 is a schematic illustration of the process flow.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

As is evident in the figure, a material 10 in the raw state is supplied to a molding machine 11. The molding machine, for example, can be an injection molding machine, an extruder or such. The molding machine 11 is associated with a warming device 14. By means of this warming device 14, the material 10 will be brought into the fluid or dough-like state. In conjunction with the molding process, printing of the entire material surface or a portion thereof will occur. In this case, a distinction is made in the process diagram between two states Z1 and Z2. According to state Z1,  
20 the material is caused to completely harden. The hardened material can then be transported or, for example, processed intermediately. Next, it is sent to a warming device 12. In this warming device 12, at least the surface of the material 10 to be printed is brought into a dough-like or fluid reactive state by means of thermal energy. By means of a printing device 13, a toner can be applied to the surface to be printed.  
25 The toner contains thermoplastic toner particles and dye pigments. If the toner is designed as a single component toner, then additional clarity control agents are also  
30

present in the toner. Also, the use of a two-component toner is possible, to which a developer in the form of ferromagnetic particles has been added.

This toner will be applied electrographically or electrostatically to the surface of the material. Now, in this regard it is possible for the toner to be applied in its raw state directly to the surface of the material, or for the toner to be brought into the fluid or dough-like reactive state by means of a warming device 14. The toner can be added either before or after the warming device 12 in which the hardened material 10 is heated. If the toner is supplied to the material in the raw state, that is, not via the warming device 14, then conversion of the toner to the reactive state is caused either by the warming device 12, or directly by contact with the heated material 10. After the toner has been applied to the material 10 and has been brought into the reactive state, it will bond with the material 10 to form a permanent structure.

It is also possible that the hardened material 10 will not be supplied to the warming device 12, as is shown in the process outline. It is possible to apply to the material 10 a toner which was first brought to the reactive state by means of the warming device 14. Based on the temperature level of the toner, the surface of the material 10 will be locally melted, so that the coloring agent can bond with the surface; in particular, so it can sink into it.

It is also possible that the material 10 will be directly subjected to the dye treatment subsequent to the molding machine 11. In this case, at least the surface of the material 10 is still in the unhardened state Z2. If necessary, this state can be maintained with a supplemental heating device 17. Then, also, a toner can be applied to the surface of the material 10 with the printing device 13. The toner can either be applied to the surface in the raw state, or it can be pretreated with the warming device 14.

After the coloring agent has been applied onto the material 10, the material will pass through a cooling section 15 where toner is caused to harden. After the printing process, the finished, printed workpiece 16 will be ready to use. Preferably, the thermoplastic toner particles of the toner consist of the same material as the material 10, in order thus to obtain a consistent material composition. Preferably, the method

according to this invention will be used for the following materials: polyethylene, polypropylene, polystyrene, polycarbonate and ABS [acrylonitrile butadiene styrene].

Possible application examples for the new printing method are, for example, decoration of polycarbonate blends for household appliances, or printing of labels for  
5 compact disks, including bar codes, serial numbers or manufacturer data.

In addition, it is possible to provide polypropylene web plates with customer-related information (e.g., for the beverage industry). In the pharmaceutical industry, an individual batch code or identifier can be printed onto plastic packaging. To bring the thermoplastic material from the hardened state into the reactive state, the warming  
10 devices 12, 14, 17 can be designed as infrared emitters or as lasers which heat up only the near-surface layers of the material 10. Thus, deformation problems from thermal effects will be prevented.

While the principles of this invention have been described in connection with specific embodiments, it should be understood clearly that these descriptions are made  
15 only by way of example and are not intended to limit the scope of the invention.

## ABSTRACT OF THE DISCLOSURE

The invention pertains to a method for printing on a thermoplastic material, wherein a coloring agent is applied to the surface of the material and is subsequently caused to harden. In order to achieve permanent coloration of the material to be printed, the method according to this invention provides that as coloring agent, a toner featuring thermoplastic toner particles is applied by electrographic or electrostatic means to the surface of the material, and that the toner and/or at least a portion of the surface of the material is brought into a reactive state in that the toner makes a permanent bond with the surface.